



*Pushing GaN Power Devices to the Limit –  
A Material and TCAD perspective*

将GaN功率器件推向极限—材料和TCAD视角

李湛明 Simon Li, Ph.D.



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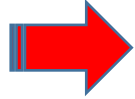
[www.iganpower.com](http://www.iganpower.com)

For IFWS2021



# Contents

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- **Importance of field plates for lateral GaN**
- **Avalanche effect in GaN**
- **Avalanche in lateral GaNFET and FP design**
- **Summary**

# Review of TCAD for lateral GaN: Including Quantum Physics in Semiconductor Equations

$$-\nabla \cdot \left( \frac{\epsilon_0 \epsilon_{dc}}{q} \nabla V \right) = -n + p + N_D(1 - f_D) - N_A f_A + \sum_j N_{tj}(\delta_j - f_{tj}),$$

**Semiconductor eqn.**

$$\nabla \cdot J_n - \sum_j R_n^{tj} - R_{sp} - R_{st} - R_{au} + G_{opt}(t) = \frac{\partial n}{\partial t} + N_D \frac{\partial f_D}{\partial t}, \quad J_n = n \mu_n \nabla E_{fn}$$

$$\nabla \cdot J_p + \sum_j R_p^{tj} + R_{sp} + R_{st} + R_{au} - G_{opt}(t) = -\frac{\partial p}{\partial t} + N_A \frac{\partial f_A}{\partial t}. \quad J_p = p \mu_p \nabla E_{fp}$$

$$H(k)|\psi_i\rangle = E_i(k)|\psi_i\rangle$$

**Quantum**

$$n = \sum_j \rho_j^{x0} kT \ln [1 + e^{(E_{fn} - E_j)/kT}] + \text{unconfined electrons},$$

$$p = \sum_i \rho_i^{x0} kT \ln [1 + e^{(E_i - E_{fp})/kT}] + \text{unconfined holes},$$

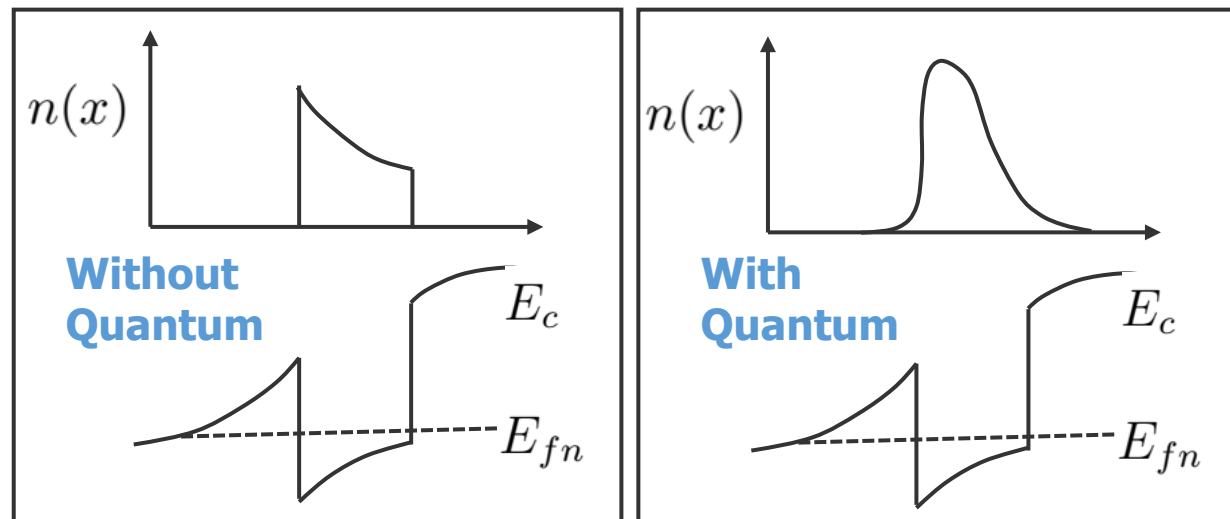
**Impact ionization**

$$G = \alpha_n J_n / q + \alpha_p J_p / q$$

$$\alpha = \alpha_n^\infty e^{-\frac{F_{cn}}{F}}$$

**factor**  $\gamma = \frac{\tanh\left(\frac{\hbar\omega_{op}}{2kT_0}\right)}{\tanh\left(\frac{\hbar\omega_{op}}{2kT}\right)}$

for both  $\alpha_n^\infty$  and  $F_{cn}$

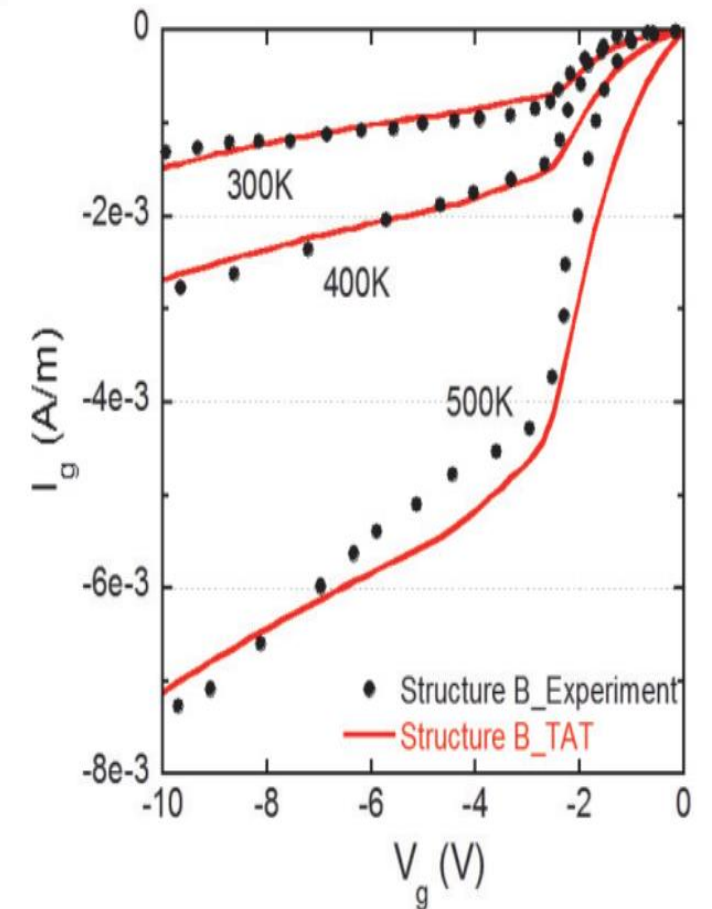
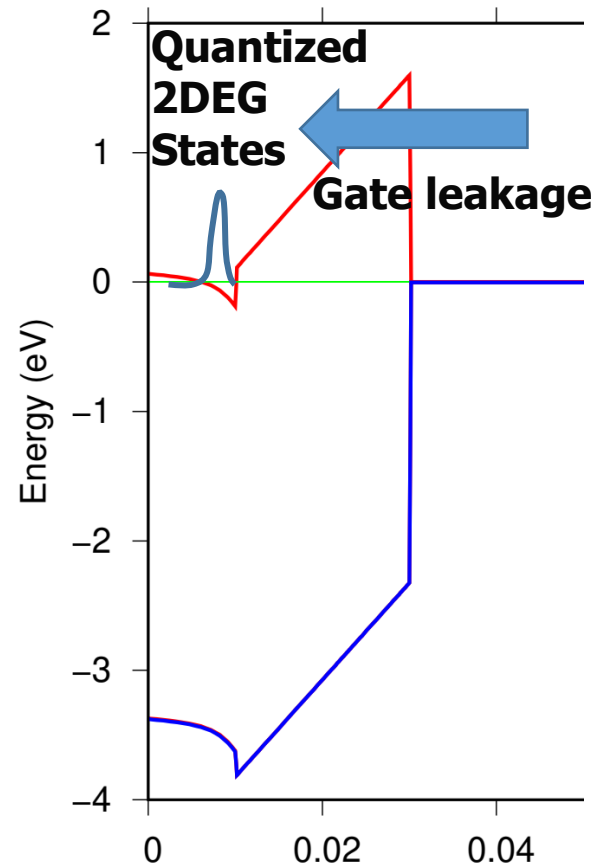
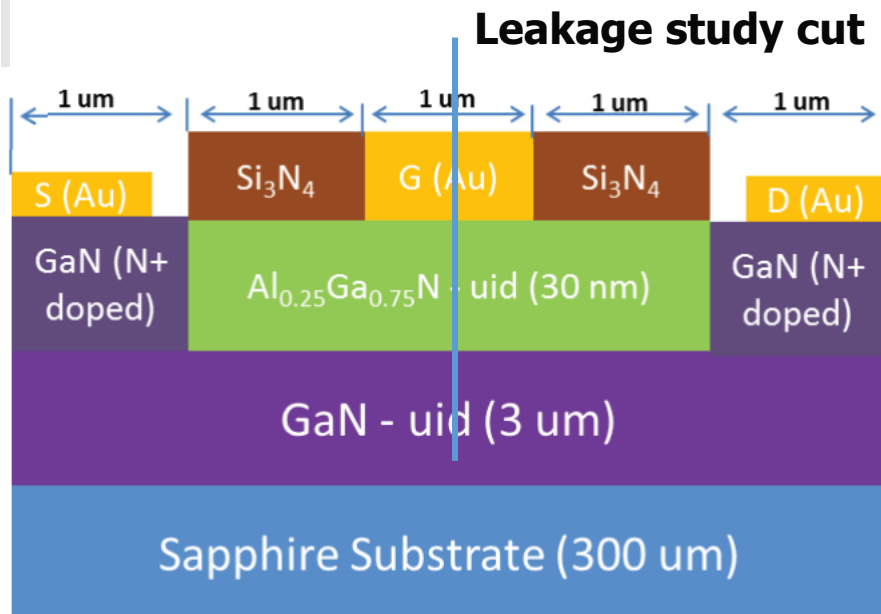


# Early Struggle of Gate Control

## Gate control:

- DMODE/EMODE
- **P-GaN or MIS?**
- Gate metal issues
- Gate leakage issues

Latest technology in lateral GaN

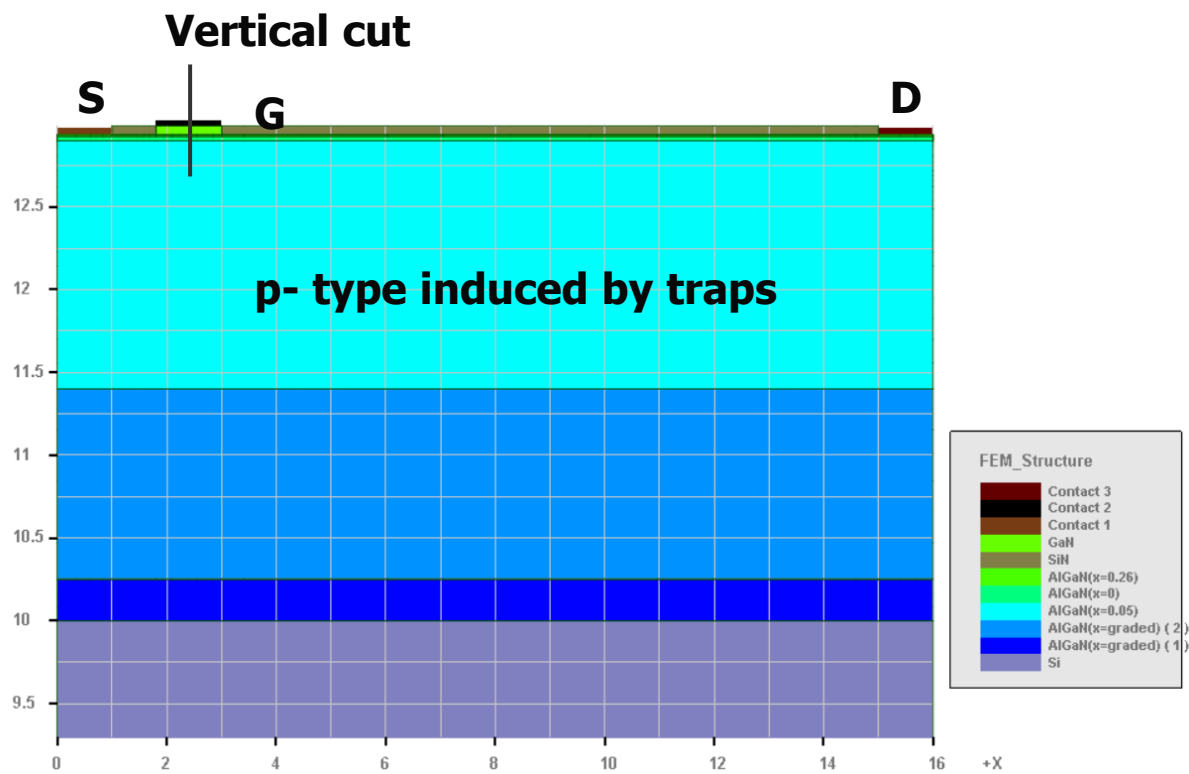


Modeling of the Reverse Gate Leakage Current of AlGaN/GaN HEMTs

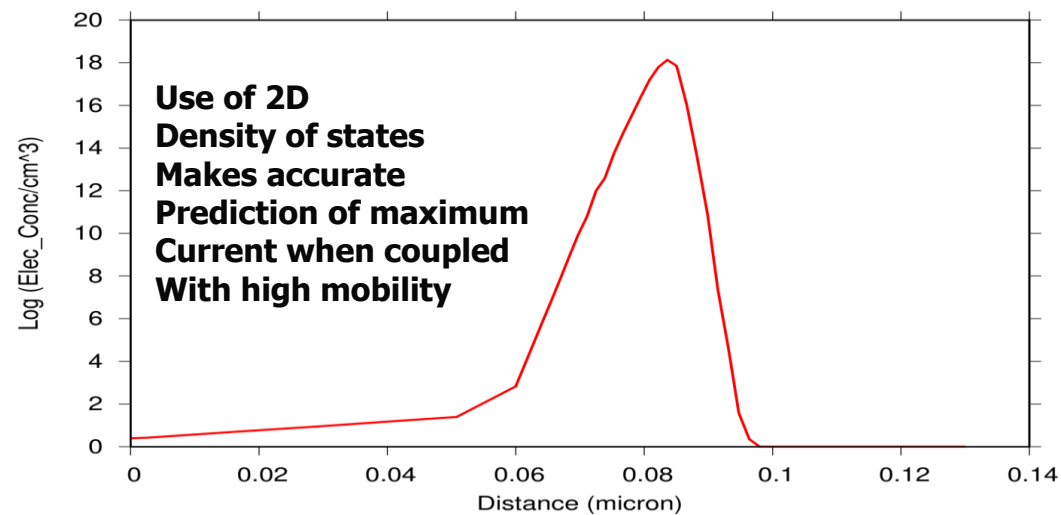
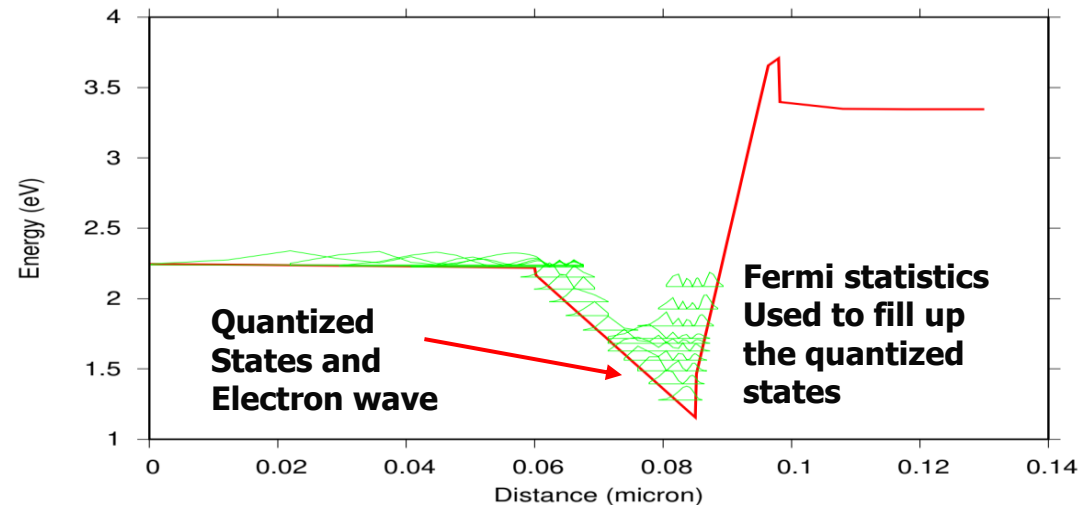
**2015 IEEE EDSSC**

# Recent technology: Typical p-GaN EMODE HEMT

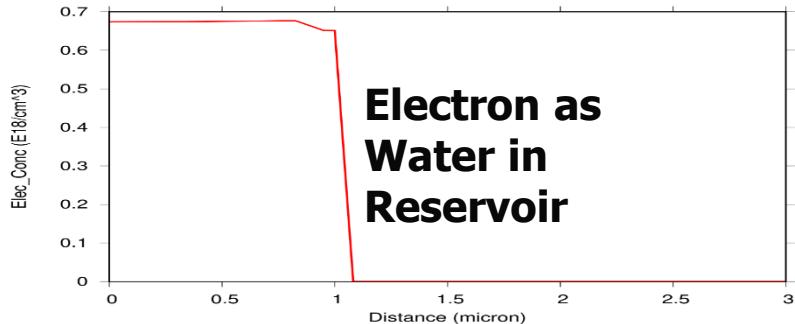
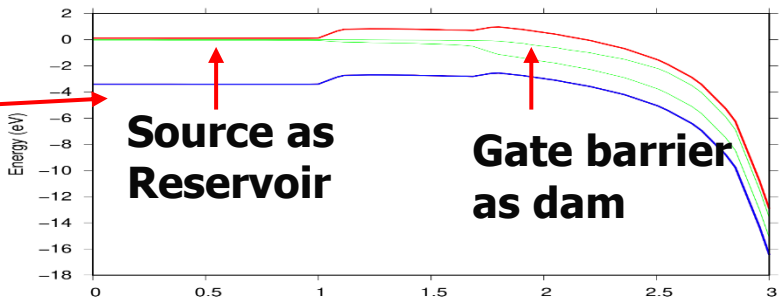
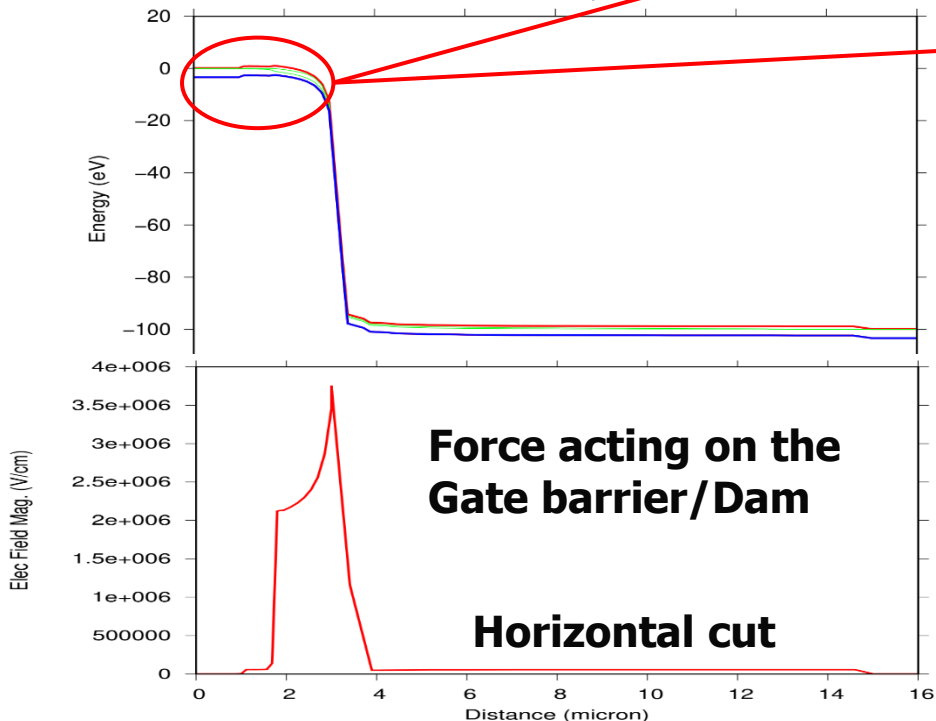
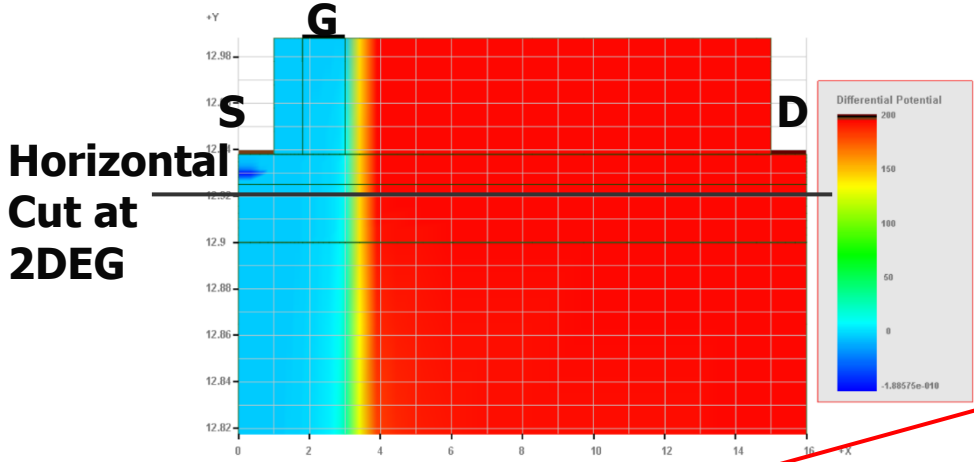
Vertical structure according to:  
"Normally-off GaN Transistors for Power Applications,"  
O. Hilt et. al. 2014 J. Phys.: Conf. Ser. 494 012001



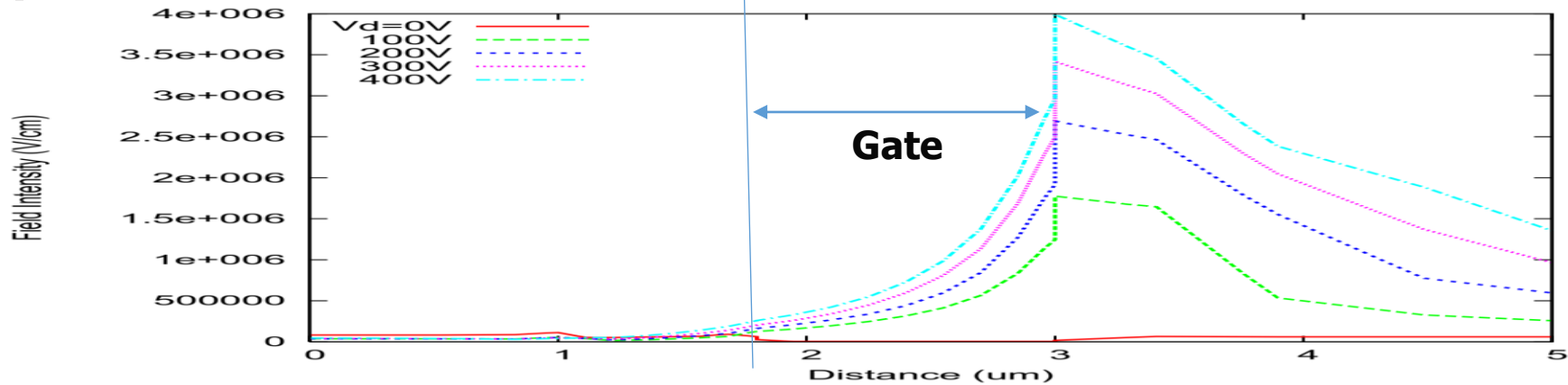
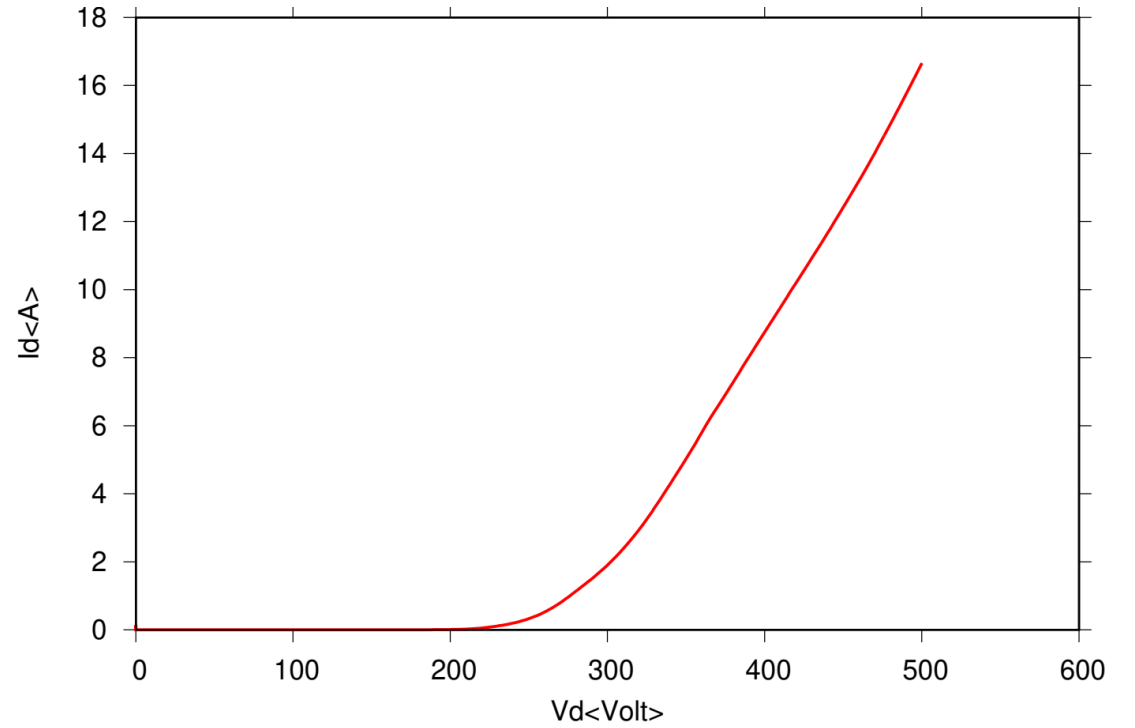
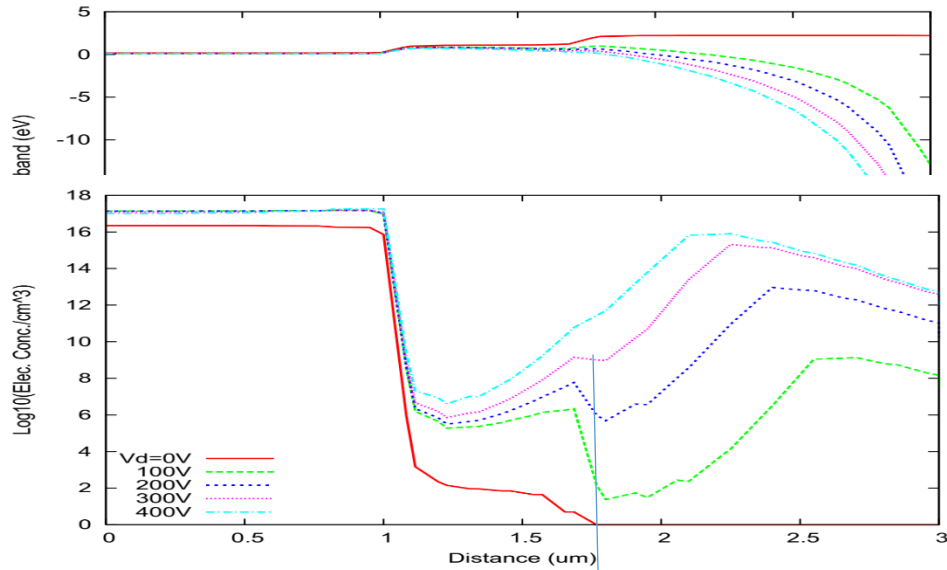
Vertical cut



# Cause of breakdown (More of a gradual leakage)



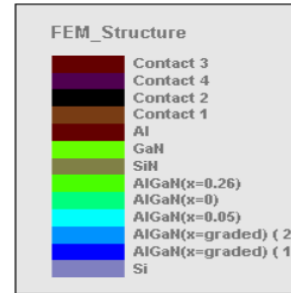
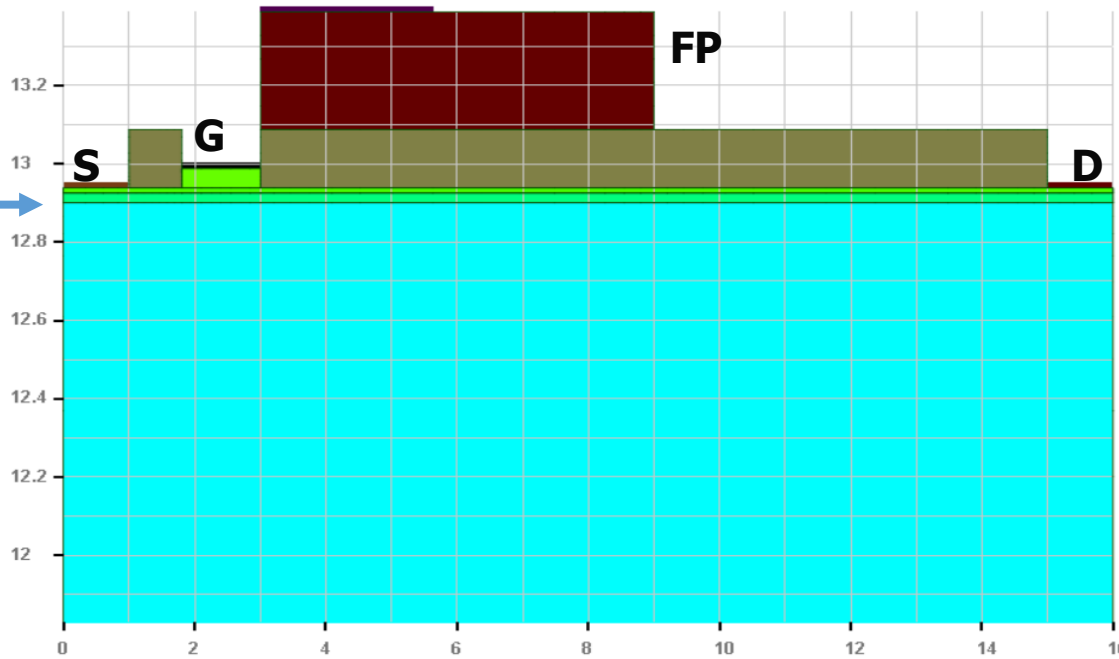
# Cause of leakage



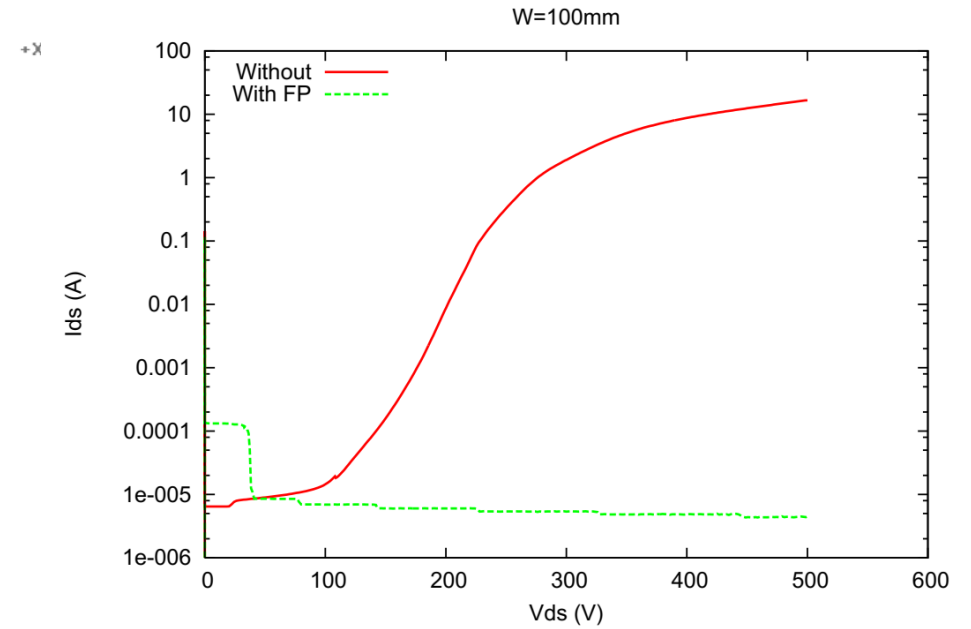
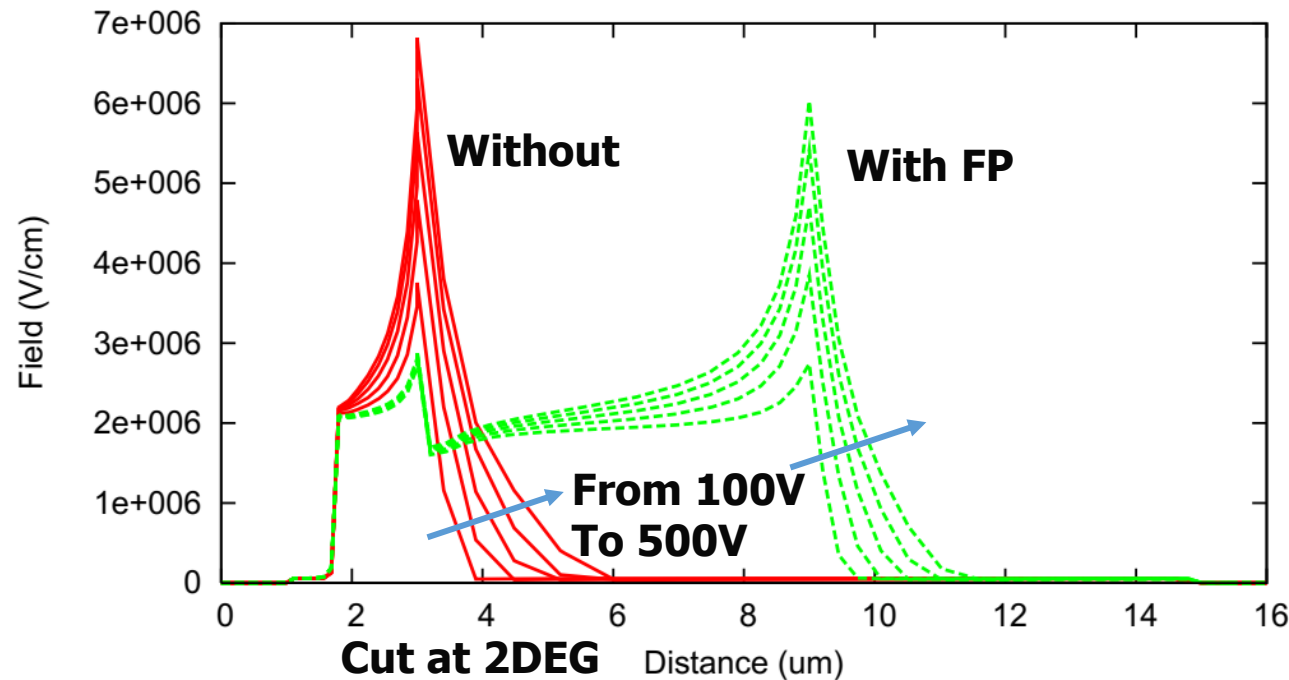


# Effect of Field Plate (FP) Without avalanche

Cut at 2DEG



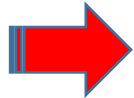
**Design consideration:**  
The field plate should be far away from the gate to move the high field away





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## Some early works on impact ionization rate (IIR)

### Extraction of impact ionization from IV curves

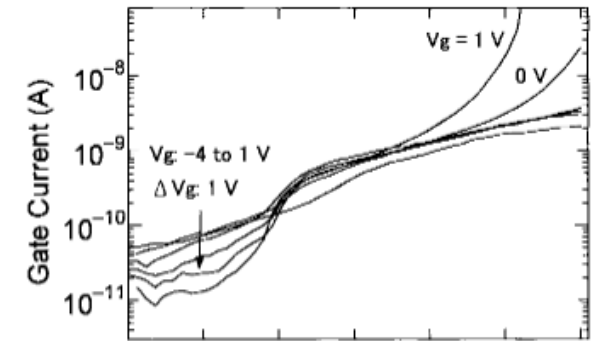
Impact ionization occurs in the localized region near the maximum electric field, and most of the generated holes are collected by the gate electrode:

$$I_{\text{hole}} = W \times \int \int \alpha_n(E) j_n dx dy \approx \alpha_n(E_{\text{max}}) \times I_{\text{ds}} \times L$$

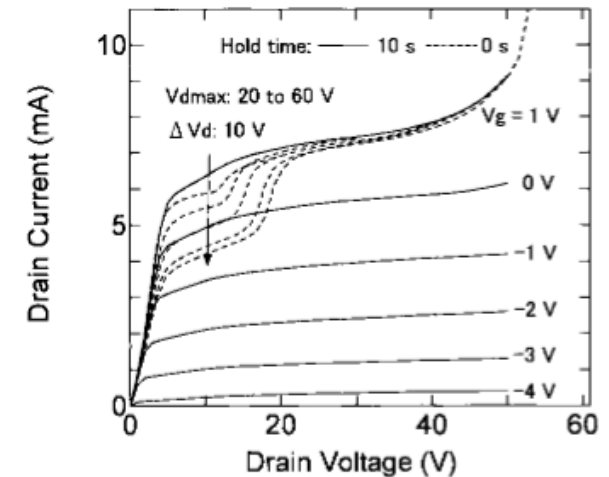
$$\alpha_n = 5.6 \times 10^6 \exp(-2.4 \times 10^6 / E)$$

EEE ELECTRON DEVICE LETTERS, VOL. 20, NO. 12,  
DECEMBER 1999 p608

→ **No clear indication of avalanche**



(a)



(b)

Fig. 1. DC characteristics of the AlGaIn/GaN HJFET with a gate dimension of  $0.9 \times 40 \mu\text{m}$ . (a) Gate current  $I_g$  versus drain voltage  $V_d$ . (b) Drain current  $I_d$  versus drain voltage. Drain currents are measured with (solid lines) and without (dashed lines) a time interval (10 s) between each gate voltage. When the drain currents are measured immediately after gate bias application, the currents are reduced in the low-drain voltage region.

# TCAD for lateral GaN: Including Quantum Physics in Semiconductor Equations

$$-\nabla \cdot \left( \frac{\epsilon_0 \epsilon_{dc}}{q} \nabla V \right) = -n + p + N_D(1 - f_D) - N_A f_A + \sum_j N_{tj}(\delta_j - f_{tj}),$$

**Semiconductor eqn.**

$$\nabla \cdot J_n - \sum_j R_n^{tj} - R_{sp} - R_{st} - R_{au} + G_{opt}(t) = \frac{\partial n}{\partial t} + N_D \frac{\partial f_D}{\partial t}, \quad J_n = n \mu_n \nabla E_{fn}$$

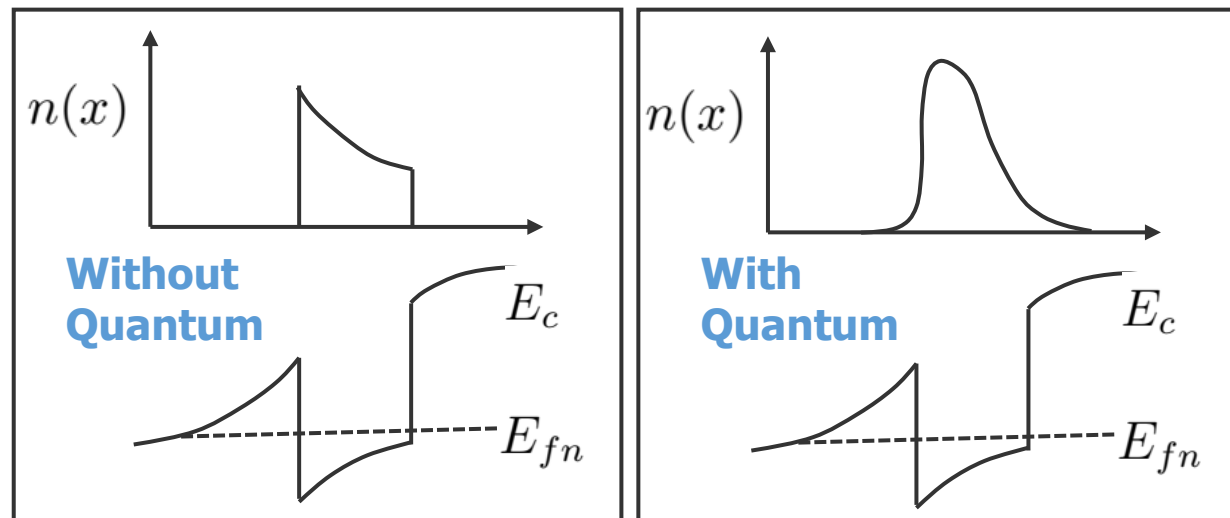
$$\nabla \cdot J_p + \sum_j R_p^{tj} + R_{sp} + R_{st} + R_{au} - G_{opt}(t) = -\frac{\partial p}{\partial t} + N_A \frac{\partial f_A}{\partial t}. \quad J_p = p \mu_p \nabla E_{fp}$$

$$H(k)|\psi_i\rangle = E_i(k)|\psi_i\rangle$$

**Quantum**

$$n = \sum_j \rho_j^{x0} kT \ln \left[ 1 + e^{(E_{fn} - E_j)/kT} \right] + \text{unconfined electrons,}$$

$$p = \sum_i \rho_i^{x0} kT \ln \left[ 1 + e^{(E_i - E_{fp})/kT} \right] + \text{unconfined holes,}$$



**Impact ionization**

$$G = \alpha_n J_n / q + \alpha_p J_p / q$$

$$\alpha = \alpha_n^\infty e^{-\frac{F_{cn}}{F}}$$

**factor**  $\gamma = \frac{\tanh\left(\frac{\hbar\omega_{op}}{2kT_0}\right)}{\tanh\left(\frac{\hbar\omega_{op}}{2kT}\right)}$

for both  $\alpha_n^\infty$  and  $F_{cn}$

**Temperature dependence of break down behavior as evidence of avalanche – GaN behaves just like any other semiconductor**

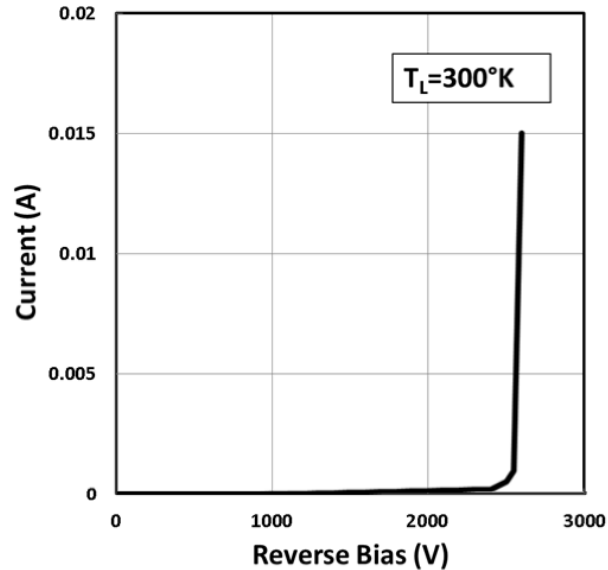
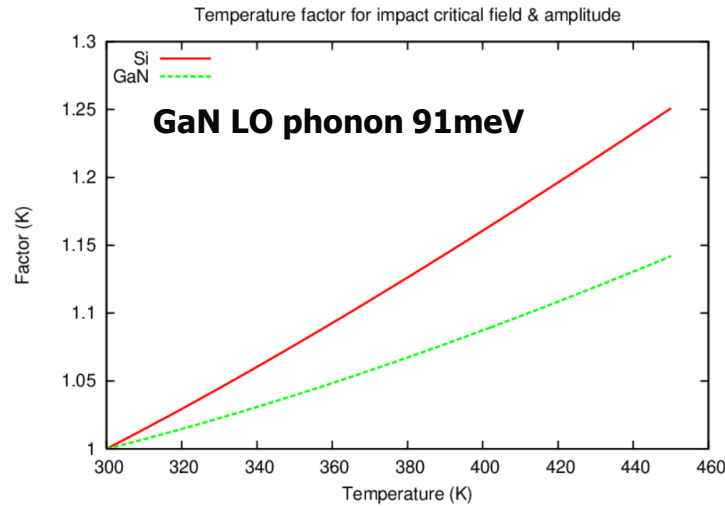


Fig. 3. Reverse characteristics of GaN p-n diode and avalanche breakdown.



Cent. Eur. J. Phys. • 10(2) • 2012 • 485-491  
DOI: 10.2478/s11534-011-0100-x

DOI: 10.1109/TED.2013.2266664

IEEE TRANSACTIONS ON ELECTRON DEVICES, VOL. 60, NO. 10, OCTOBER 2013

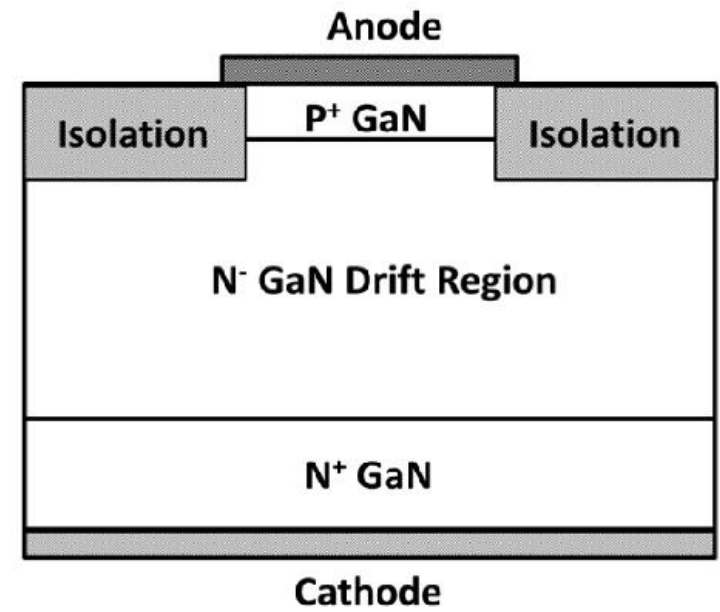


Fig. 1. Schematic cross-sectional view of the vertical GaN p-n diode on bulk GaN.

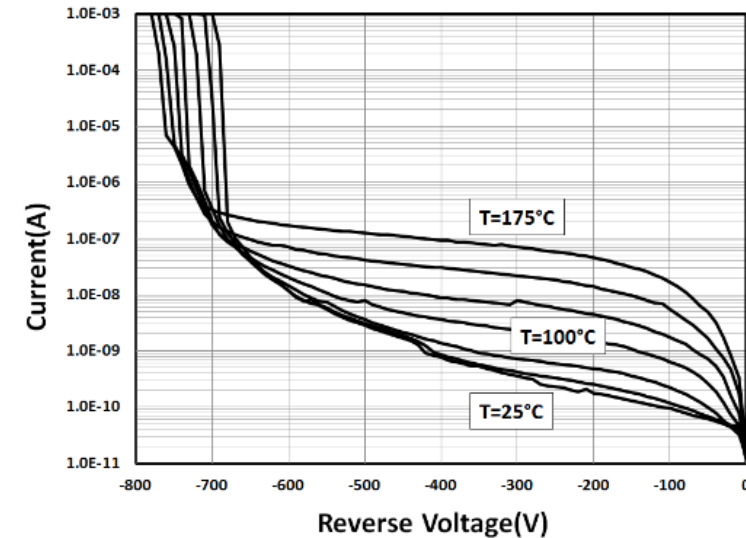
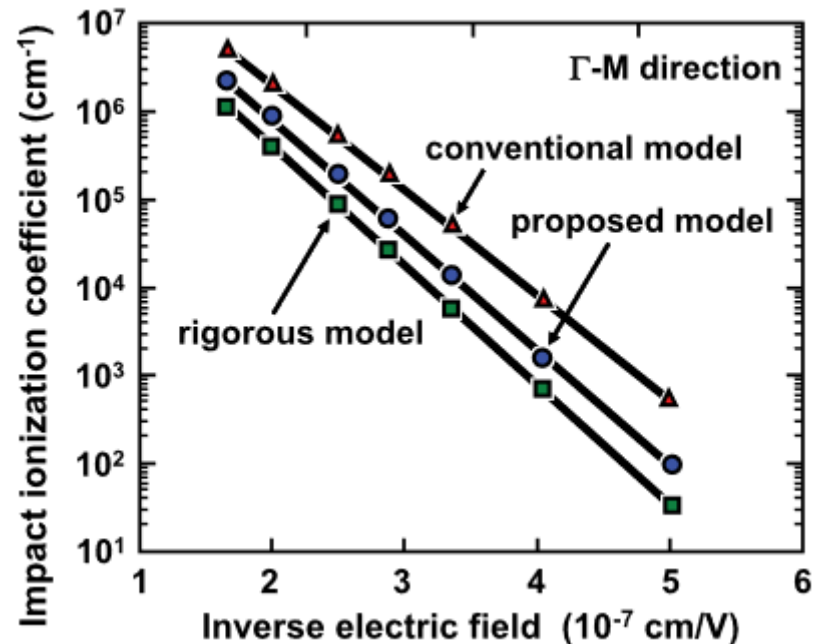


Fig. 4. Temperature dependence of the reverse  $I-V$  curves of the GaN diode.

# Computing impact ionization rate

$$W_{\text{irr}}(n_1, k_1) = \frac{2\pi}{\hbar} \frac{V^2}{(2\pi)^6} \sum_{n_1', n_2, n_2'} \int d^3 k_{1'} \int d^3 k_2' \times |M|^2 \delta(E_1 + E_2 - E_{1'} - E_{2'}),$$



$$\alpha_n = a + \exp(-b/E)$$

$a = 2.31\text{E}8 \text{ (1/cm)}$   
 $b = 3.14\text{E}7 \text{ (V/cm)}$

**→ Theory looks much like optical transition computation!**

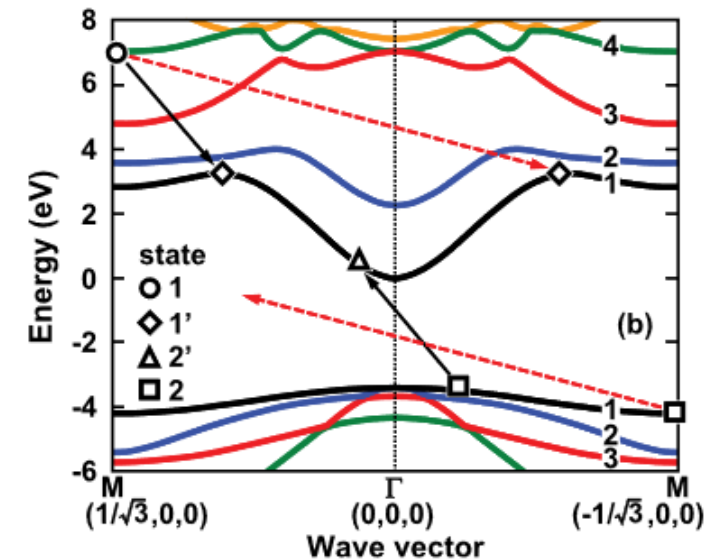
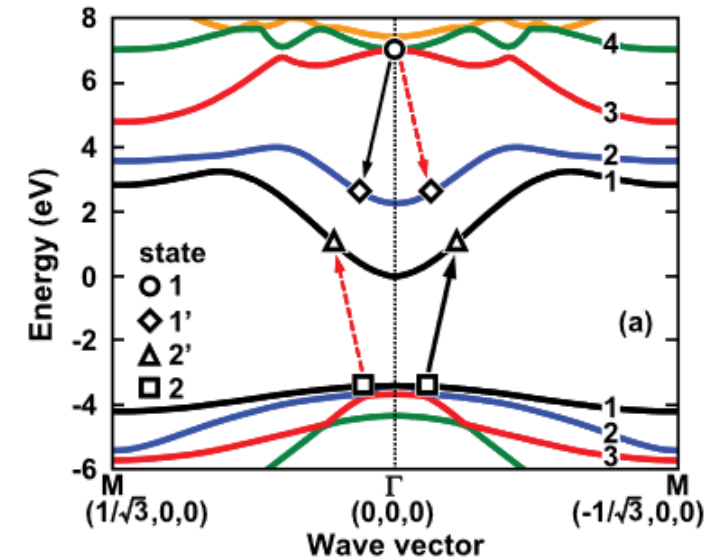
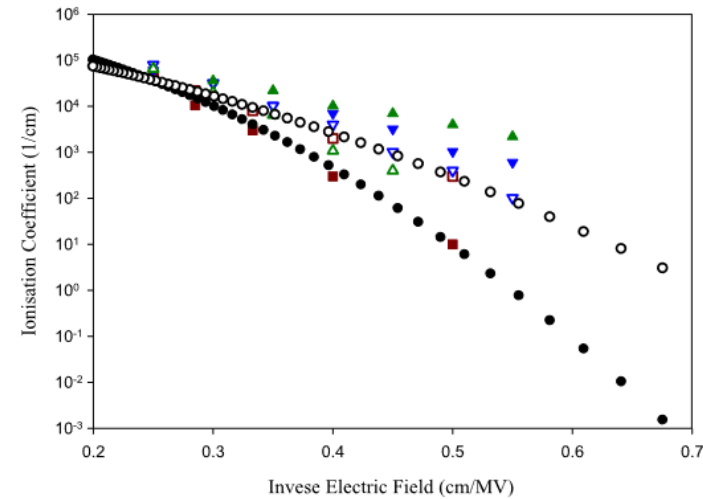
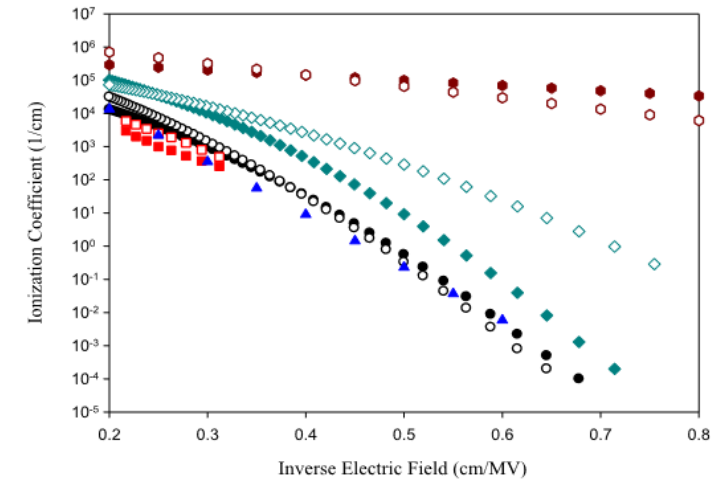


FIG. 4. Impact ionization processes for initial electrons located at (a)  $\Gamma$  and (b) M points. Circles correspond to electrons for state 1, squares for state 2, diamonds for state 1', and triangles for state 2'. Arrows indicate direction of transition path.

**Monte Carlo simulation results  
may vary widely.  
→ AlGaN is less likely to break  
down than GaN.**



**Fig. 1.** Electron ionization coefficient,  $\alpha$  (filled black circle) and hole ionization coefficient,  $\beta$  (empty black circle) of GaN from this work are compared with those by Bertazzi et al. [24] along T-M ( $\alpha$ : filled green upright triangle,  $\beta$ : empty green upright triangle), Bertazzi et al. along T-A ( $\alpha$ : filled blue down-pointing triangle,  $\beta$ : empty blue down-pointing triangle) and Oğuzman et al. [25] ( $\alpha$ : filled red square,  $\beta$ : empty red square).



**Fig. 2.** Electron ionization coefficient,  $\alpha$  (filled black circle) and hole ionization coefficient,  $\beta$  (empty black circle) of  $\text{Al}_{0.45}\text{Ga}_{0.55}\text{N}$  from this work compared to Tut et al. [11]  $\text{Al}_{0.4}\text{Ga}_{0.6}\text{N}$  ( $\alpha$ : filled maroon hexagon,  $\beta$ : empty maroon hexagon), Bulutay [12]  $\text{Al}_{0.4}\text{Ga}_{0.6}\text{N}$  ( $\alpha$ : blue triangle), Bellotti et al. [13]  $\text{Al}_{0.4}\text{Ga}_{0.6}\text{N}$  ( $\alpha$ : filled red square,  $\beta$ : empty red square) and GaN of our work ( $\alpha$ : filled teal diamond,  $\beta$ : empty teal diamond).

### For GaN

$$\alpha = 7.32 \times 10^7 \exp \left[ - \left( \frac{7.16 \times 10^8}{E} \right)^{1.90} \right] \text{cm}^{-1}$$

$$\beta = 3.48 \times 10^7 \exp \left[ - \left( \frac{6.56 \times 10^8}{E} \right)^{1.65} \right] \text{cm}^{-1}$$

### For Al(0.45)Ga(0.55)N

$$\alpha = 1.66 \times 10^7 \exp \left[ - \left( \frac{8.51 \times 10^8}{E} \right)^{1.75} \right] \text{cm}^{-1}$$

$$\beta = 8.01 \times 10^7 \exp \left[ - \left( \frac{1.02 \times 10^9}{E} \right)^{1.65} \right] \text{cm}^{-1}$$

# Measurement of avalanche multiplication utilizing Franz-Keldysh effect in GaN p-n junction diodes

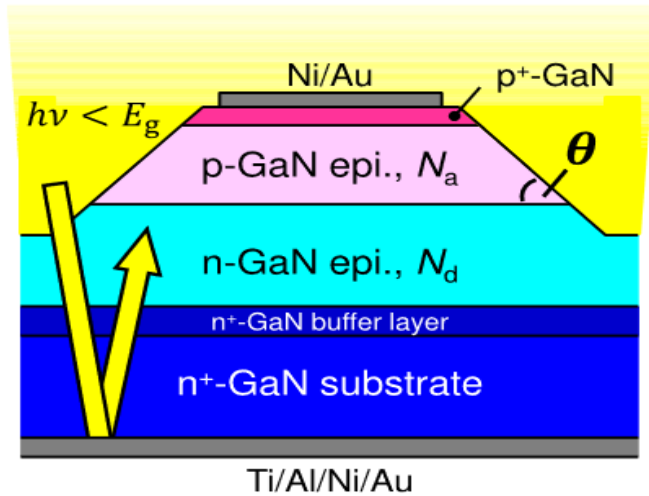
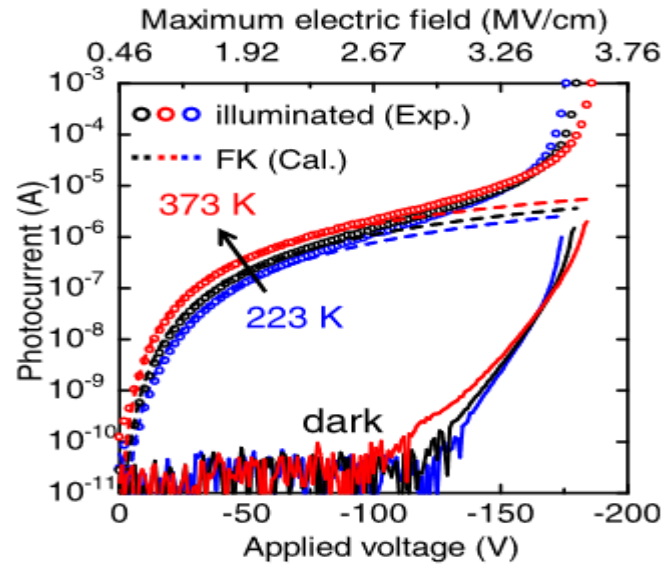


FIG. 1. Schematic cross section of a GaN PND with double-side-depleted shallow bevel termination. The sub-bandgap light was irradiated from the surface side.

assume  $\alpha_n = \alpha_p$  for simplicity

$$1 - \frac{1}{M} = \int_{W_p}^{W_n} \alpha(E) dx.$$

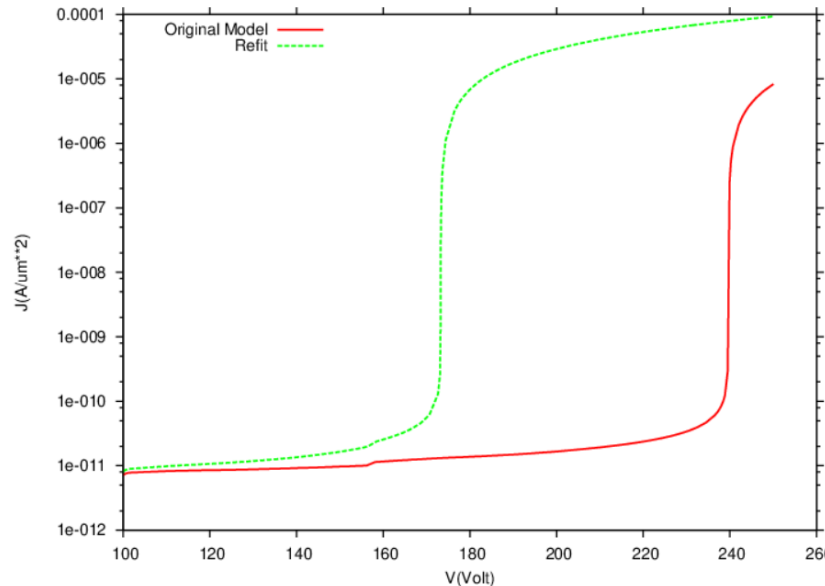
Appl. Phys. Lett. 115, 142101 (2019);  
<https://doi.org/10.1063/1.5114844>



$$\alpha(E, T) = a(T) \cdot \exp \left[ - \left( \frac{b(T)}{E} \right) \right]$$

$$a(T) = 1.30 \times 10^6 \cdot [1 + 1.5 \times 10^{-3} \cdot (T - 298)] \text{ cm}^{-1},$$

$$b(T) = 1.18 \times 10^7 \cdot [1 + 6.0 \times 10^{-4} \cdot (T - 298)] \text{ Vcm}^{-1}.$$



→ Optical method and electrical method (TCAD) have different results

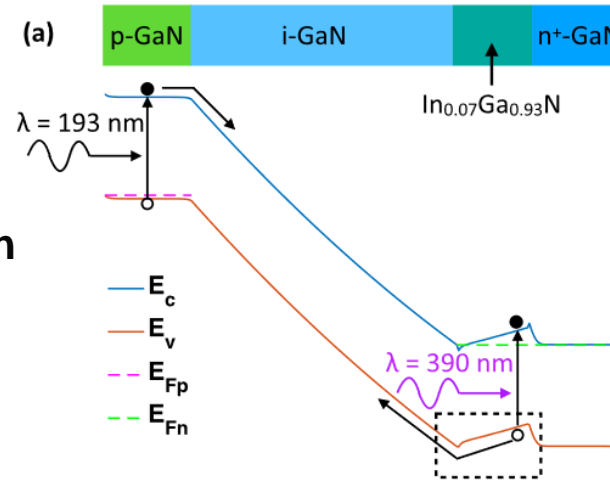
To get BV, refit:  
**B=1.18E7 V/cm**  
 → **B=0.95E7 V/cm**



APPLIED PHYSICS LETTERS 112, 262103 (2018)

Experimental characterization of impact ionization coefficients for electrons and holes in GaN grown on bulk GaN substrates

Lina Cao, et.al.

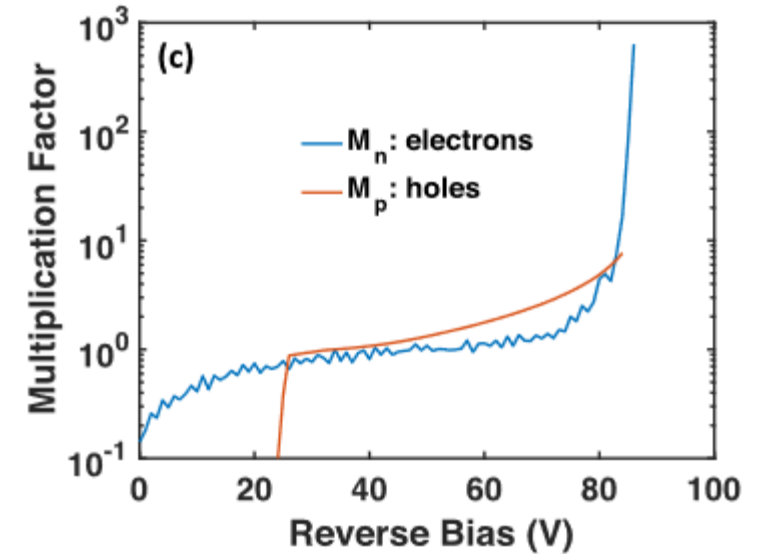
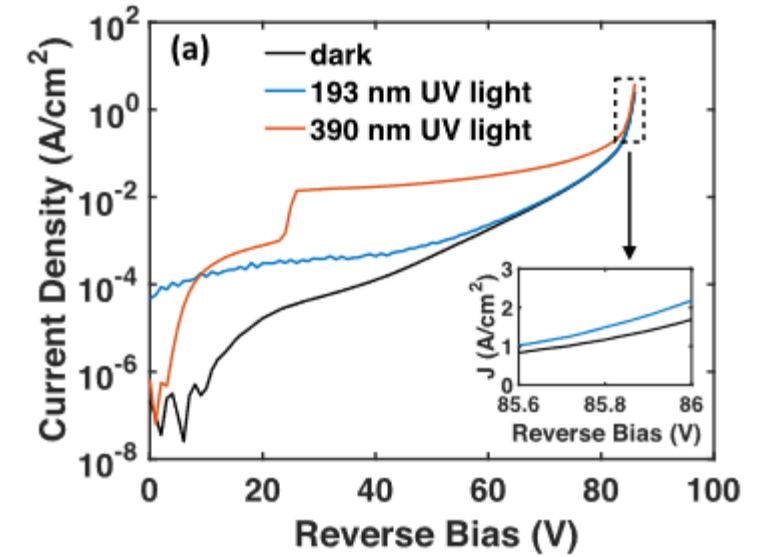
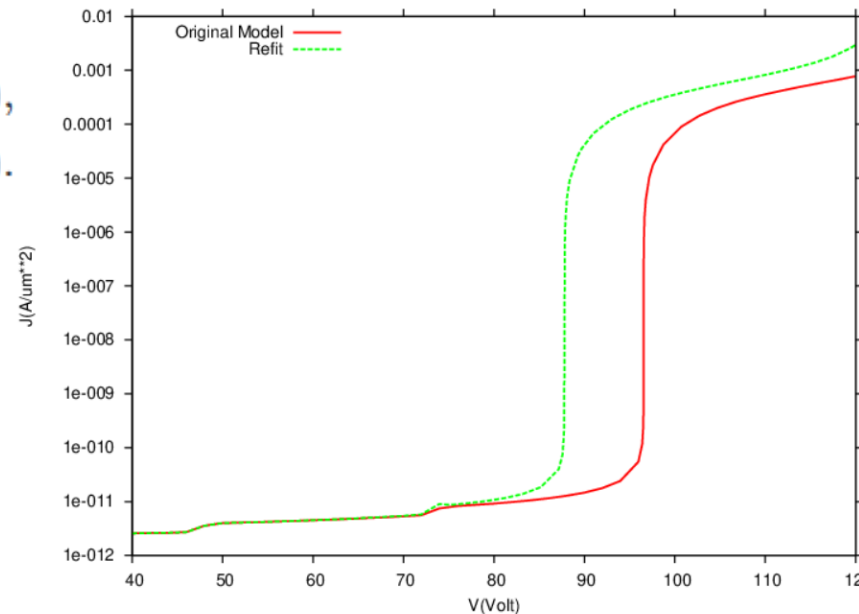


$$\alpha(E) = 4.48 \times 10^8 \exp(-3.39 \times 10^7/E),$$

$$\beta(E) = 7.13 \times 10^6 \exp(-1.46 \times 10^7/E).$$

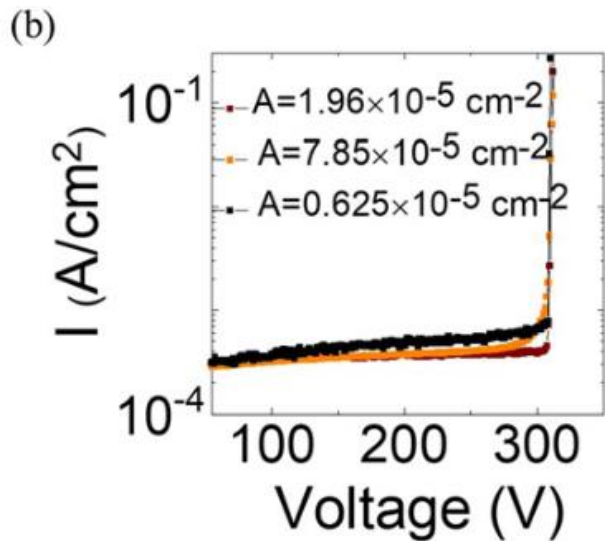
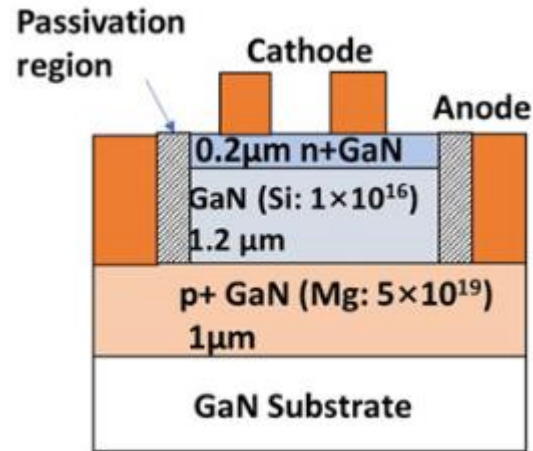
Refit based on IV: a=3.39E7  
revised to a=3.0E7 V/cm

→ Better agreement between optical and electrical extraction.



Experimental determination of impact ionization coefficients of electrons and holes in gallium nitride using homojunction structures

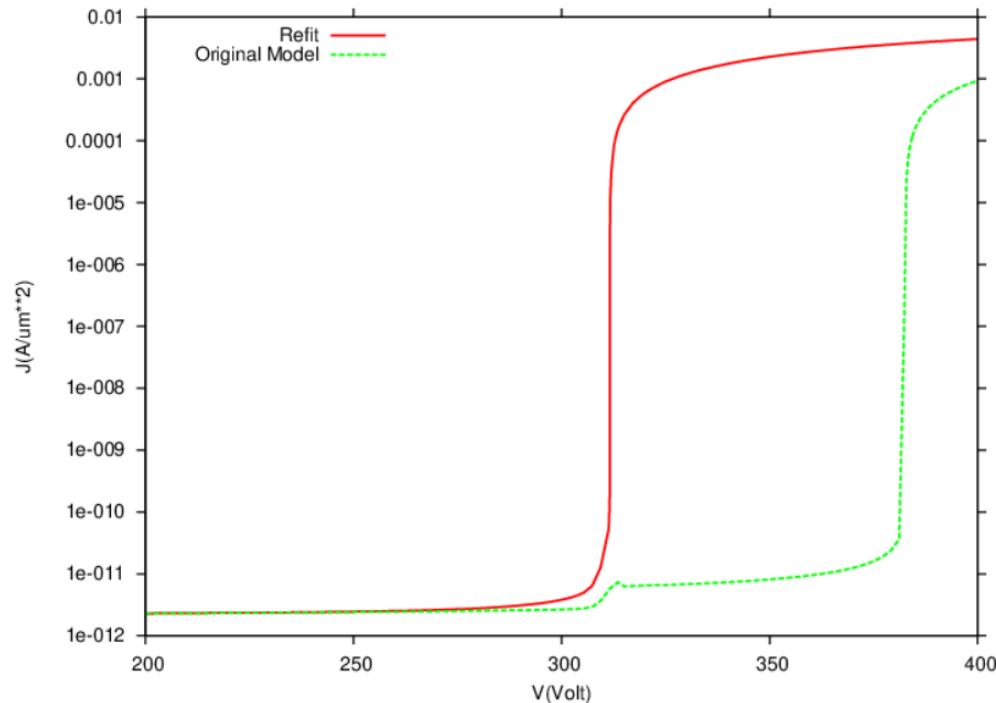
Ji, et.al. Appl. Phys. Lett. 115, 073503 (2019); doi: 10.1063/1.509924  
Part 1/2



$$\alpha(E) = 2.11 \times 10^9 e^{-3.689 \times 10^7 \frac{1}{E}} \text{ cm}^{-1}$$

$$\beta(E) = 4.39 \times 10^6 e^{-1.8 \times 10^7 \frac{1}{E}} \text{ cm}^{-1}$$

Use of optical generation to determine impact ionization rate

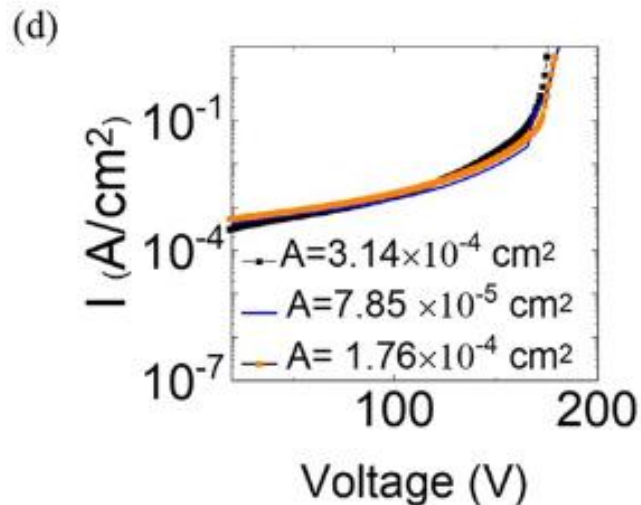
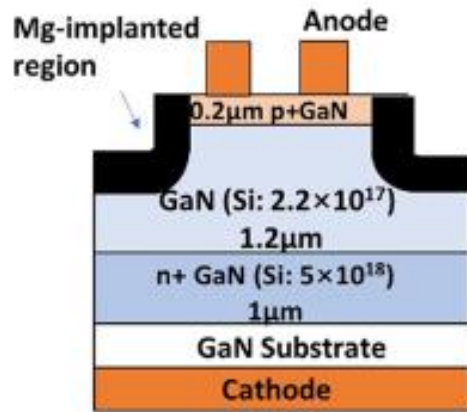


Alpha =  $a \cdot \exp(-b/E)$   
Coefficient b is refitted to get the correct BV:  
 $b = 3.689E7 \rightarrow$   
 $b = 2.8E7 \text{ V/cm}$

**Substantial difference in optical/electrical extraction of IIR**

Experimental determination of impact ionization coefficients of electrons and holes in gallium nitride using homojunction structures

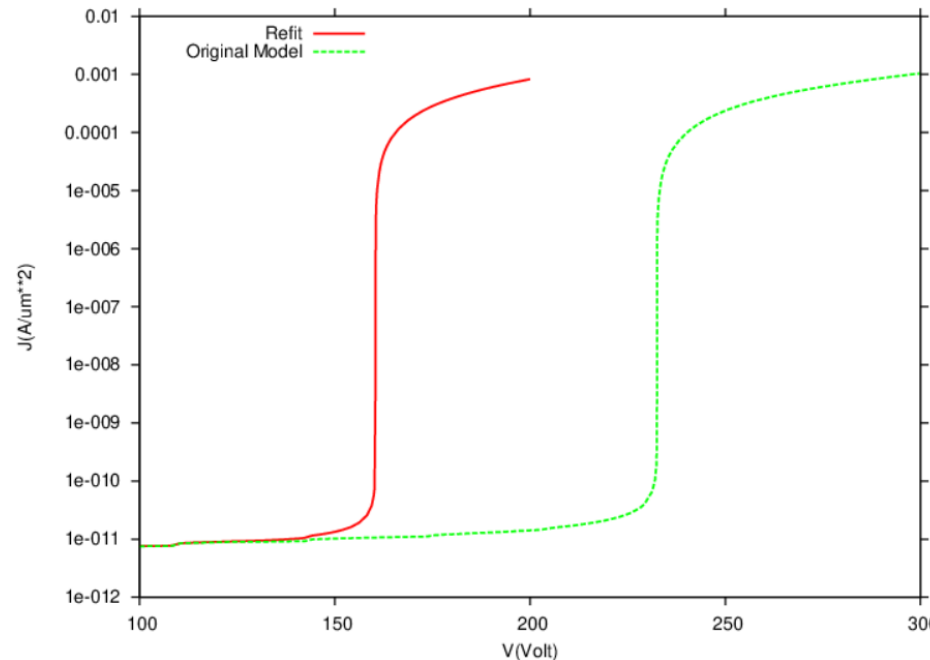
Ji, et.al. Appl. Phys. Lett. 115, 073503 (2019); doi: 10.1063/1.509924  
Part 2/2



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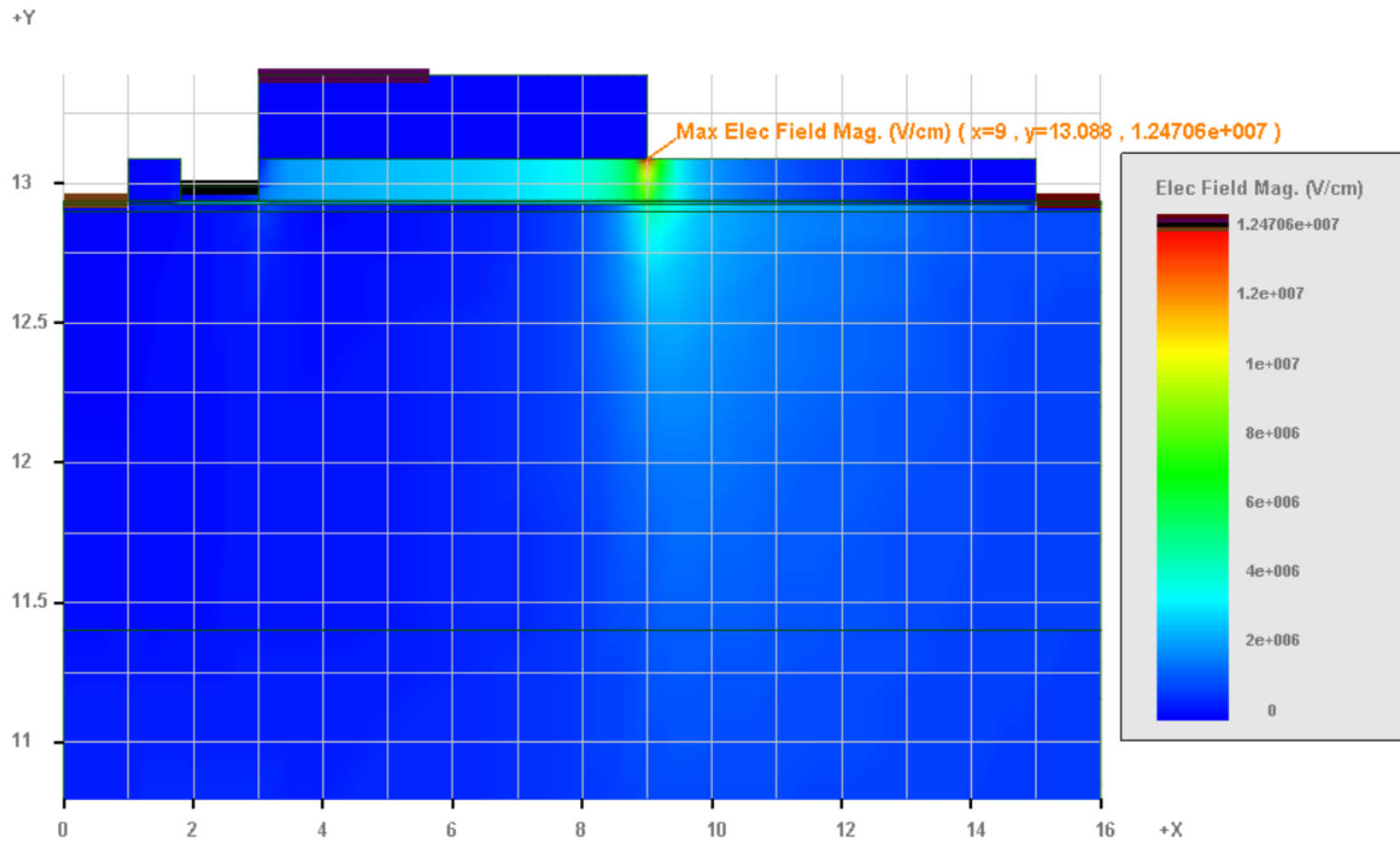
The difference persisted in another experimental structure from the same paper. Issues with optical experiment or extraction method?

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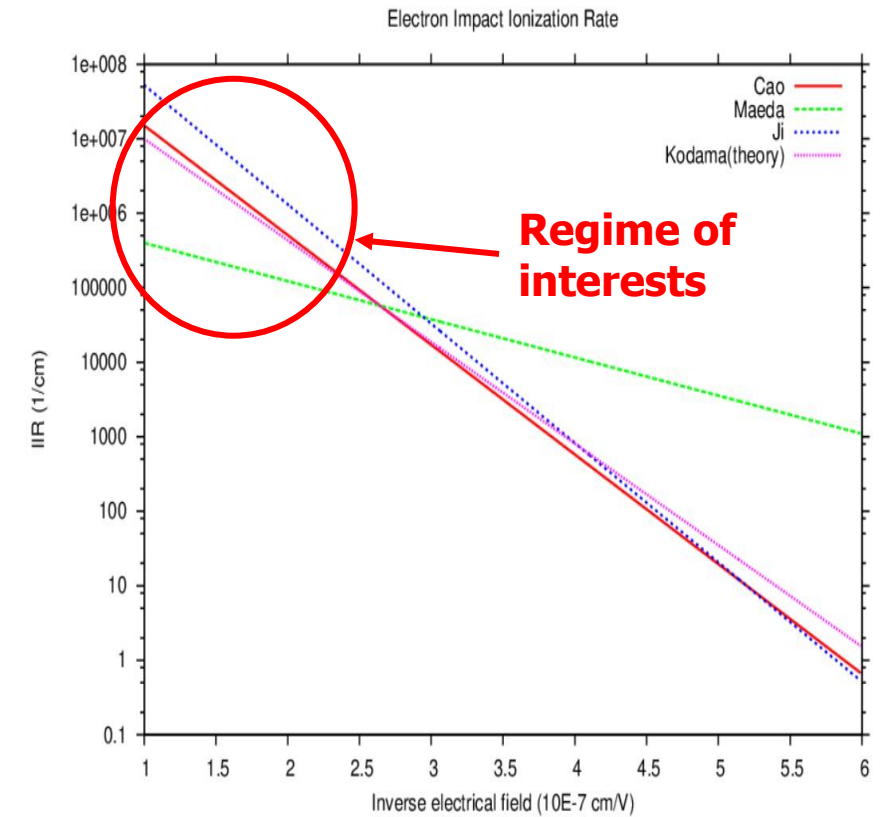
- Electrical field range to consider  $\sim 1E7$  V/m
- Highest field point shall be right below FP edge



**Vd=800V**

**Recent experimental impact ionization coefficients**  
 $\alpha = a \cdot \exp(-b/F)$

Ref	Electron (a, 1/cm)	Electron (b, V/cm)	Hole (a, 1/cm)	Hole (b, V/cm)
Cao et. Al [1]	4.48E8	3.39E7 (refit 3.0E7)	7.13E6	1.46E7
Maeda et. Al [2]	1.30E6	1.18E7 (refit 0.95E7)	1.30E6	1.18E7 (refit 0.95E7)
Ji et. Al [3]	2.11E9	3.689E7 (refit 2.8E7)	4.39E6	1.8E7

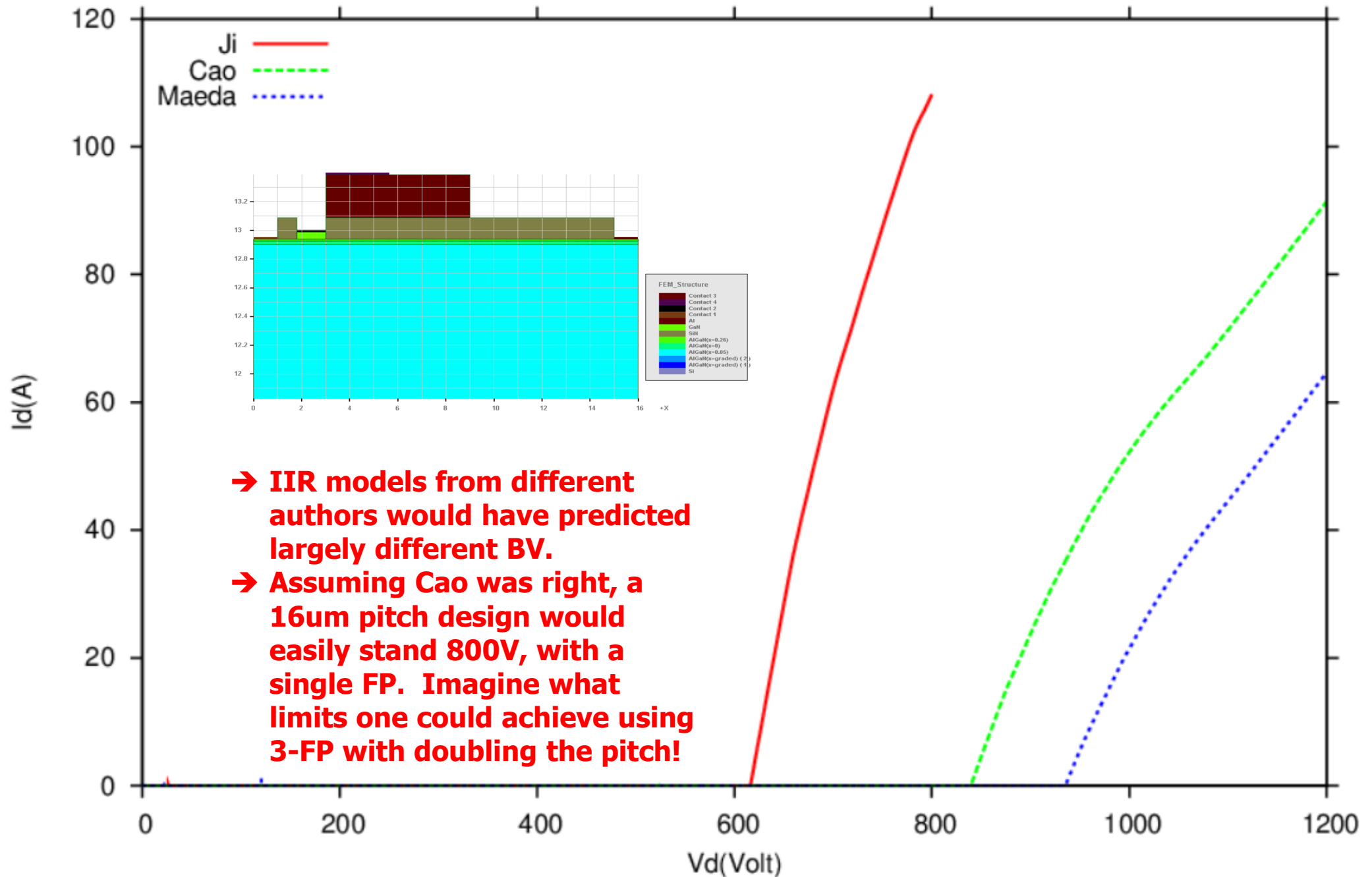


**→Rogorous theory agrees well with one of the experimental works.**

[1] APPLIED PHYSICS LETTERS 112, 262103 (2018)

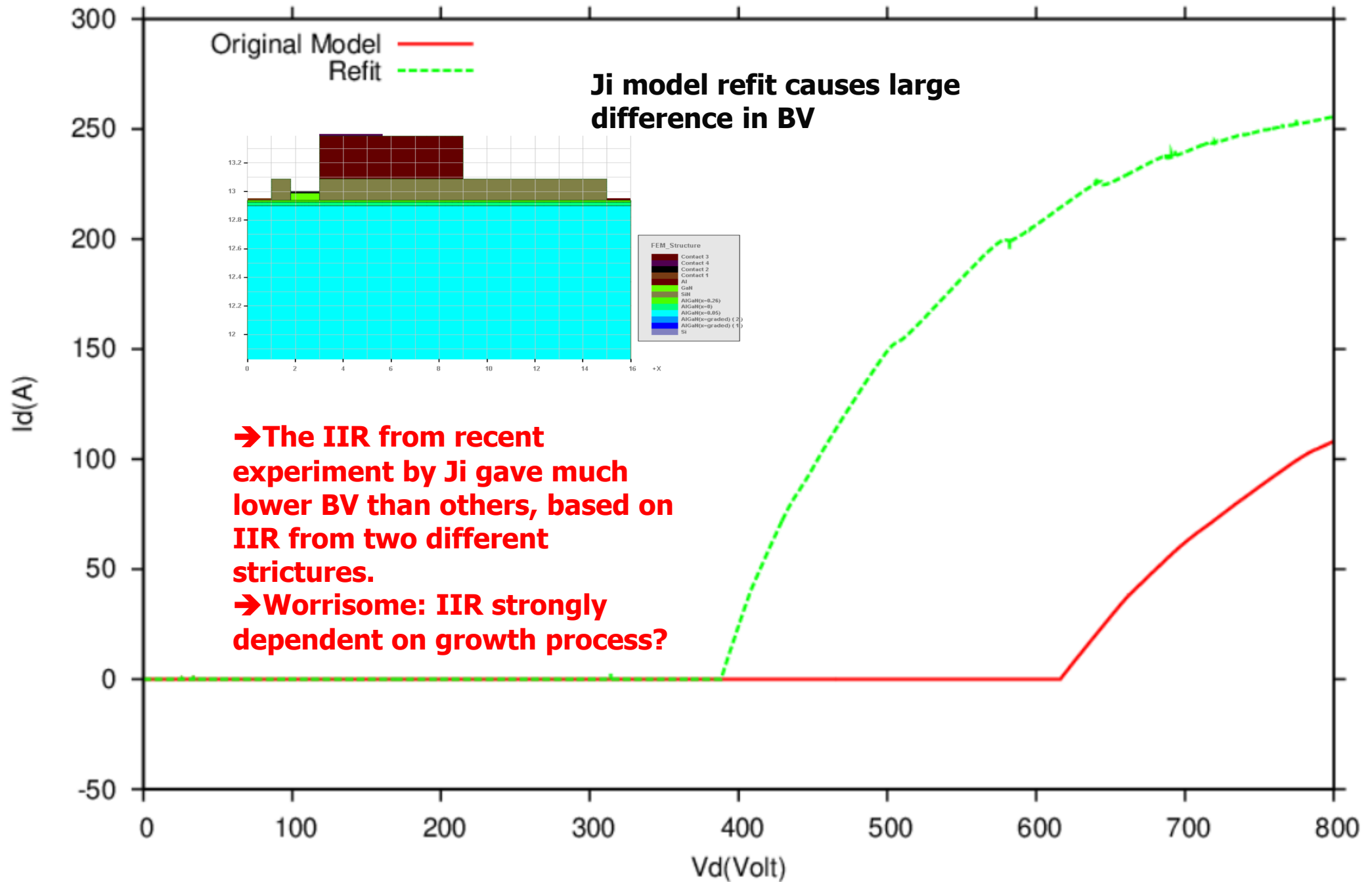
[2] Appl. Phys. Lett. 115, 142101 (2019); <https://doi.org/10.1063/1.5114844>

[3] Ji, et.al. Appl. Phys. Lett. 115, 073503 (2019); doi: 10.1063/1.509924



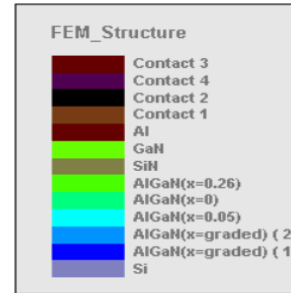
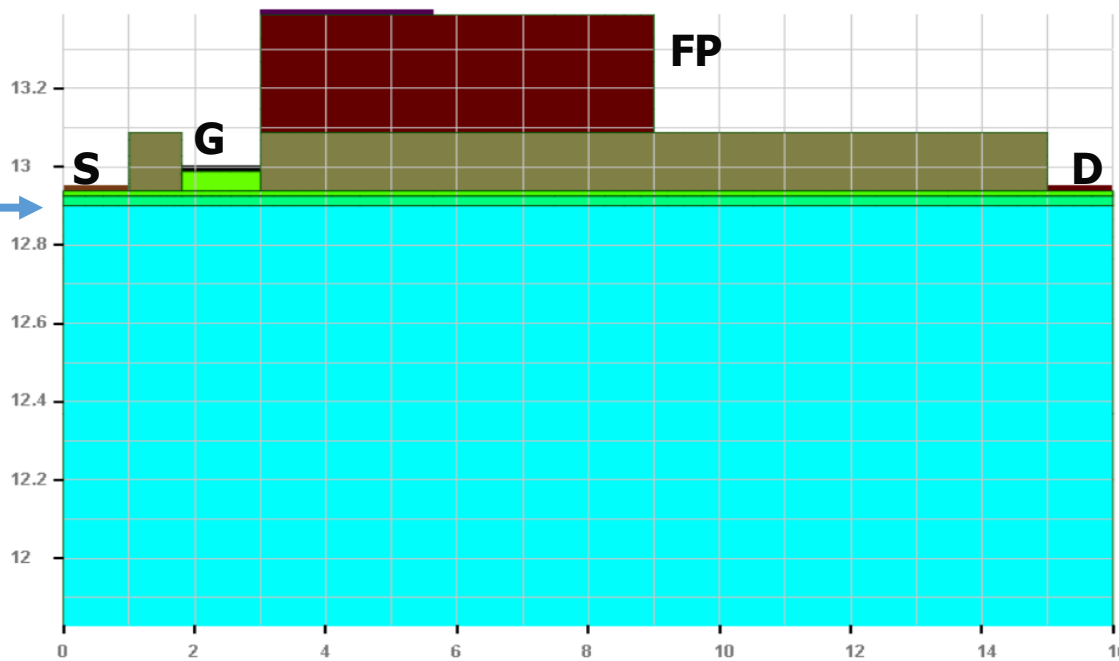
- IIR models from different authors would have predicted largely different BV.
- Assuming Cao was right, a 16um pitch design would easily stand 800V, with a single FP. Imagine what limits one could achieve using 3-FP with doubling the pitch!



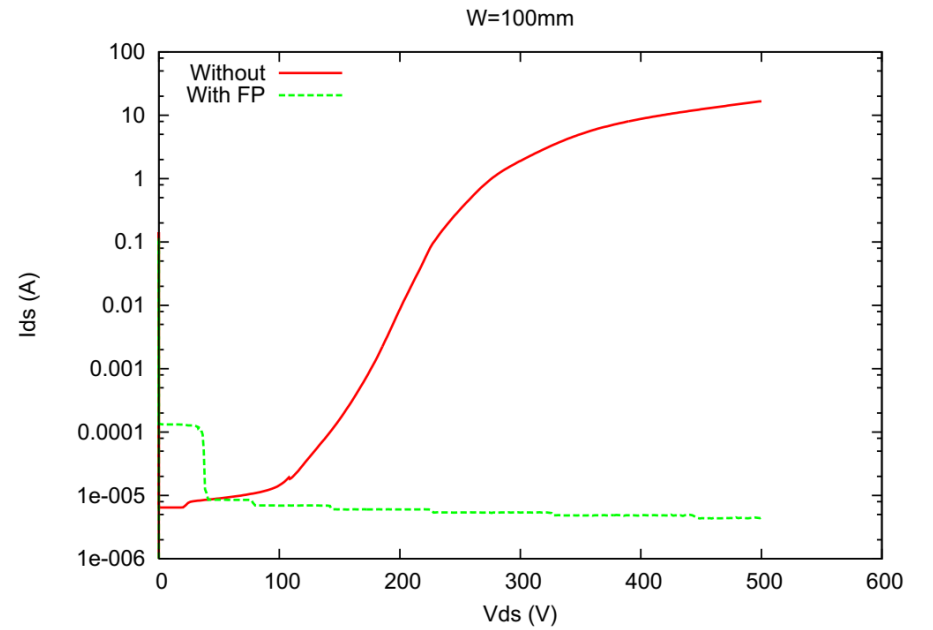
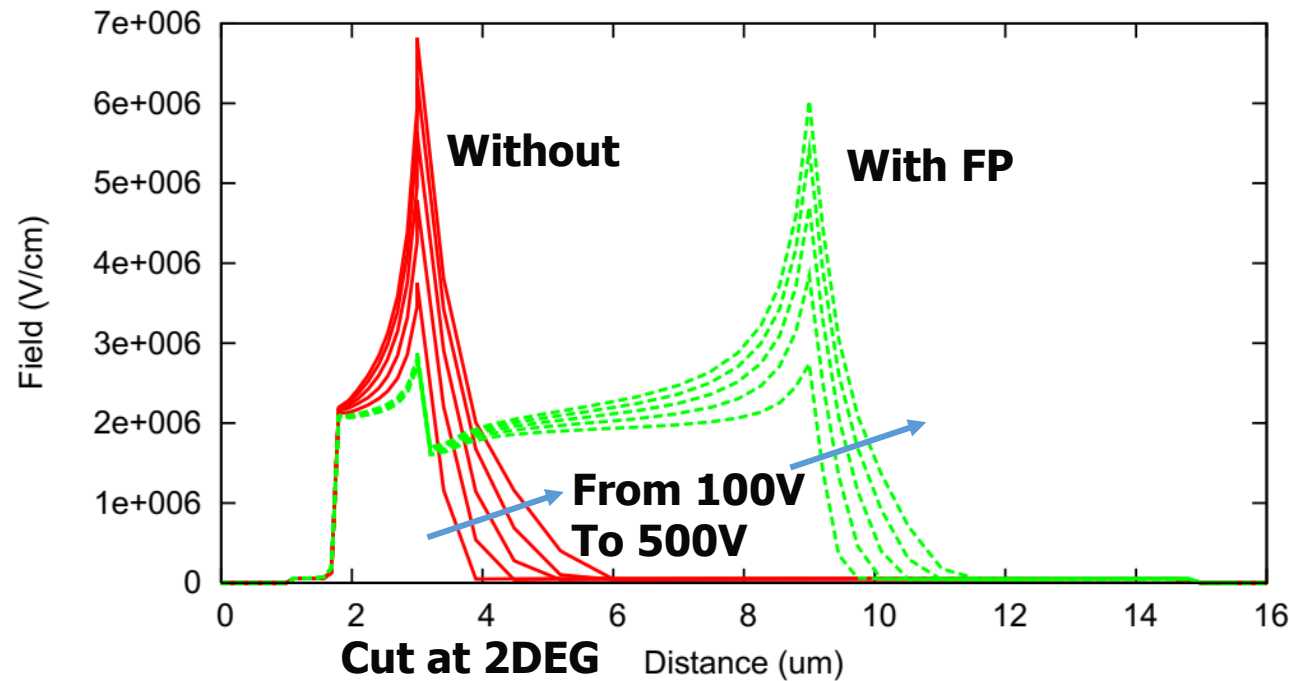


# Effect of Field Plate (FP) Without avalanche

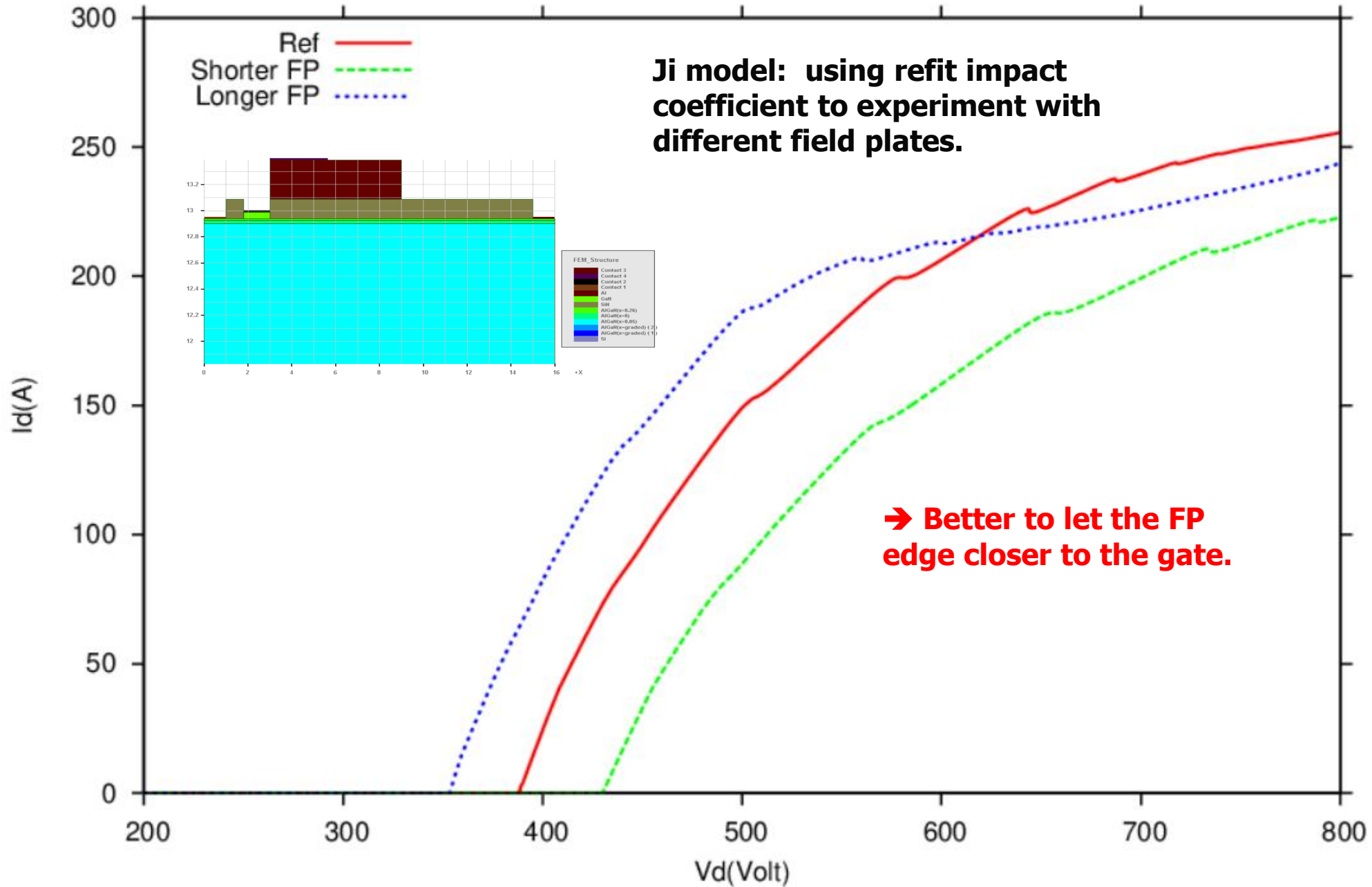
Cut at 2DEG



→ FP edge better be away from the gate



GaN FET width=180mm



# Summary

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- For commercially available lateral GaNFET at 650V or 1200V, avalanche may play a role.
- More study is needed to explain the large difference in experimental IIR from different labs and from different extraction methods.
- Crosslight-TCAD is a suitable tool for avalanche simulation and GaNPower has a handle on 1200V GaN design.

**Thanks for  
your  
attention!**

